

— Richard L. Rosenthal Awards —

For Innovation in Investment Management/Corporate Finance

In 1988, the Richard L. Rosenthal Awards to recognize Innovation in Investment Management/Corporate Finance were established under the auspices of seven free-standing university graduate schools of business: Chicago, Claremont, Columbia, Duke, New York, Rochester and Virginia. Each of the seven Awards is conferred for the work of a graduate not more than 35 years of age — of any of the schools of each of the Universities — whose activities/product is judged noteworthy within the parameters of the Award. Preference is to be given to innovations that have proven their worth in the marketplace. The Awardees, known as Richard L. Rosenthal Fellows, will each spend three days in lectures/seminars at the University through which each Awardee is selected.

THE CLAREMONT GRADUATE SCHOOL

The Peter F. Drucker Graduate
Management Center
Claremont, California

1988 Donald P. Gould
Huntington Advisers, Inc.
Pasadena, California

1989 Marko A. Budzyk, V.P.
Houlihan, Lokey, Howard &
Zukin, Inc. Los Angeles,
California



Richard West, Dean, Stern Schools of Business, New York University, along with the Rosenthals, presents awards plaque to Melissa Brown, the first Rosenthal Fellow at NYU.

THE UNIVERSITY OF CHICAGO

Graduate School of Business
Chicago, Illinois

1989 Kenneth R. French
Professor of Finance, University
of Chicago Graduate School of
Business

1990 Robert W. Vishny, Ph.D.
Professor, University of Chicago
Graduate School of Business

1991 Chris P. Dialynas
Founder & Managing Director,
Pacific Investment
Management Co.
Newport Beach, California

COLUMBIA UNIVERSITY

Graduate School of Business
New York, New York

1991 William Goetzman
Assistant Professor of Finance,
Columbia University School
of Business



Richard Rosenthal and noted film maker, Ishmall

DUKE UNIVERSITY

The Fuqua School of Business
Durham, North Carolina

- 1989 Robert E. Whaley
Associate Professor of Finance,
Duke University, The Fuqua
School of Business
- 1990 Campbell R. Harvey, Ph.D.
Assistant Professor of Finance,
Duke University, The Fuqua
School of Business

NEW YORK UNIVERSITY

Leonard N. Stern School of
Business New York, New York

- 1990 Melissa R. Brown
First Vice President, Prudential-
Bache Securities New York,
New York
- 1991 Duen-Li (Tony) Kao
Dir. Investment Research, General
Motors Corporation Detroit,
Michigan

*At Duke University's Fuqua School of Business,
Campbell R. Harvey receives RLR Award in Invest-
ment Management/Corporate Finance.*



UNIVERSITY OF ROCHESTER

William E. Simon Graduate
School of Business Administration
Rochester, New York

- 1989 Robert G. Harris
Director, Corporate Finance
The Toronto-Dominion Bank
New York, New York
- 1990 Alan S. Zekelman
President, Atlas Tube
Harrow, Ontario, Canada
- 1991 Frank C. Dorkey
Meliora Research Associates, Inc.
Rochester, New York

UNIVERSITY OF VIRGINIA

Darden Graduate School of
Business Administration
Charlottesville, Virginia

- 1989 John G. Macfarlane
Managing Director,
Salomon Brothers, Inc.
New York, New York
- 1990 Paul Tudor Jones II
Chairman and C.E.O.,
Tudor Investment Corp.
New York, New York