

Curriculum Vitae

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Education

2001, M.B.A., Ph.D. in Accounting, University of Chicago Graduate School of Business,
1996, M.A. in Economics, University of Maryland at College Park,
1993, B.A. in Economics, Wuhan University, China

Academic Affiliations

Professor of Business Administration (with tenure), Duke University	2011-
Special-term Visiting Professor of Accounting, Tsinghua University	2007-
Director of Research, Corporate Governance Center of Tsinghua University	2009-
Associate Professor of Business Administration (with tenure), Duke University	2007-11
Associate Professor of Business Administration (without tenure), Duke University	2004-07
Assistant Professor of Business Administration, Duke University	2000-04

Refereed Publications at Academic Journals

1. Cooperation In the Budgeting Process, *Journal of Accounting Research*, Vol. 41, No. 5, pp. 775-796, December 2003
2. Financial Accounting Information, Organization Complexity and Corporate Governance (with R. Bushman, E. Engel, and A. Smith), *Journal of Accounting and Economics*, Vol. 37, No. 2, pp. 167-201, March 2004
3. Positive Hurdle Rates Without Asymmetric Information (with W. Jiang), *Financial Research Letters*, Vol. 1, No. 2, March 2004
4. Investors Learning About Analyst Predictive Ability (with J. Francis and W. Jiang), *Journal of Accounting and Economics*, Vol. 39, No. 1, pp. 3-24, February 2005
5. Analysts' Weighting of Private and Public Information (with W. Jiang), *Review of Financial Studies*, Vol. 19, No. 1, pp. 319-355, Spring 2006
6. Price Informativeness and Investment Sensitivity to Stock Price (with I. Goldstein and W. Jiang), *Review of Financial Studies*, Vol. 20, No. 3, pp. 619-650, May 2007

7. On the Relation Between Conservatism in Accounting Standards and Incentives for Earnings Management (with T. Hemmer and Y. Zhang), *Journal of Accounting Research*, Vol. 45, No. 3, pp. 541-566, June 2007
8. Directors' Ownership in the U.S. Mutual Fund Industry (with I. Goldstein and W. Jiang), *Journal of Finance*, Vol. 63, No. 6, pp. 2629-2678, December 2008
9. Payoff Complementarities and Financial Fragility: Evidence from Mutual Fund Outflows (with I. Goldstein and W. Jiang), *Journal of Financial Economics*, Vol. 97, No. 2, pp. 239-262, August 2010
10. Endogenous Accounting Bias when Decision Making and Control Interact (with B. Mittendorf and Y. Zhang), *Contemporary Accounting Research*, forthcoming
11. On the Use of Loose Monitoring in Agencies (with T. Hemmer and Y. Zhang), *Review of Accounting Studies*, forthcoming

Other publications

1. How Do Analysts Weight Private Information and Why? (with W. Jiang, in *Corporate Governance: Implications for Financial Services Firms*, Proceedings of 39th Federal Reserve Bank of Chicago Conference on Bank Structure and Competition)
2. Security Analysts' Independence from Other Analysts, chapter in AIMR Mimeograph "Security Analyst Independence."
3. Discussion of "Which Institutional Investors Trade Based on Private Information on Earnings and Returns, *Journal of Accounting Research*, Vol. 45, No. 2, pp. 323-331, May 2007.

Working papers

1. Selective Disclosure of Public Information: Who Needs to Know? (with T. Lewis and Y. Zhang)
2. The Effect of Market Development on Controlling Shareholders' Participation in Rights Offerings (with K. Schipper, K. Wang and X. Xiao)
3. The Sensitivity of Cash Holdings to Corporate Governance: evidence from China (with X. Chen, K. Schipper, Y. Xu, and J. Xue)
4. Information asymmetry and cost of capital (with Z. Huang and Y. Zhang)

5. Catering and a time-varying premium for meeting or beating expectations (with S. Melessa and N. Zhang)
6. The Role of Forecast Patterns in Conveying Analysts' Predictive Ability (with J. Francis and W. Jiang)
7. Career Concerns and the Optimal Pay-for-Performance Sensitivity (with S. Dikolli, and W. Jiang)

Invited presentations

Invited presentations at Carnegie Mellon University, College of William and Mary, Duke University, Copenhagen Business School, Emory University, Hong Kong University of Science and Technology, INSEAD, Humboldt University, London School of Economics, MIT, Peking University, Stanford University, Stockholm School of Economics, Tilburg University, Tsinghua University, University of California – Berkeley, University of Chicago, University of Houston, University of Maryland, College Park, University of Minnesota, University of North Carolina – Chapel Hill, University of Wisconsin – Madison, Washington University at St. Louis.

Professional activities

Discussant at Financial Intermediation Research Society Conference at Shanghai, AAA FARS, American Accounting Association Management Accounting Conference, American Accounting Association Annual Meeting, Annual Conference on Financial Economics and Accounting.

Ad hoc reviewer for

Accounting Review
Contemporary Accounting Research
Journal of Accounting, Auditing and Finance
Journal of Accounting and Public Policy
Journal of Accounting Research
Journal of Accounting and Economics
Journal of Business
Journal of Banking and Finance
Journal of Finance
Journal of Financial Intermediation
Journal of Financial Markets
Journal of Law, Economics and Organization
Journal of Political Economy
Management Science
Review of Accounting Studies
Review of Financial Studies

Grant reviewer for
TIAA-CREF Institute Research Grant

Member affiliations: American Accounting Association, American Finance Association.

Services

Ph.D. coordinator, 2011-

Doctoral thesis committees (Allen Huang (2007); Amy Zang (2005); Li Xu (2005))

Fuqua Honor committee, member 2008-2009, Chair from 2010-

Ad hoc tenure and promotion committees

Teaching

- Elective course in Financial Statement Analysis in Daytime MBA and Weekend Executive MBA.
- PhD seminar in analytical accounting research
- Core course in Managerial Accounting in Daytime MBA and Cross-Continent MBA

Research grants, honors and awards

- TIAA-CREF Institute Fellow 2005-2008
- TIAA-CREF Institute Research Grant 2005
- CIBER grant 2002, 2003, 2006, 2008
- Charles Horngren Fellowship, 1999